

**United Nations Development Programme
Philippines**



Empowered lives.
Resilient nations.

Reaping the Demographic Dividend and Managing the Socio-Economic Impact of COVID-19 by
Applying an Integrated National Financing Framework in the Philippines
2022 Annual Work Plan

Implementing Partner:

UNDP Philippines

Project Description

The Joint SDG Fund Joint Programme on Reaping the Demographic Dividend and Managing the Socio-Economic Impact of COVID-19 by Applying an Integrated National Financing Framework in the Philippines (JP INFF and DD) will apply the building blocks of the Integrated National Financing Framework (INFF) to provide catalytic support – tools, technical assistance for strengthening systems, coordination and consensus building on priorities as part of a whole of government approach in support of We Recover As One. It will strengthen national planning and budgeting mechanisms to ensure that a more effective resource allocation, and establish a more diversified financing framework that can leverage additional resources for the implementation of COVID-19 recovery strategies, and ultimately, the achievement of the SDGs in an integrated manner. UNDP (lead), UNFPA and UNICEF are the Participating UN Organizations in this Joint Programme, supported by the UN Resident Coordinator's Office.

The JP has three (3) Sub-outcomes namely: (1) By 2022, a functional Integrated National Financing Framework that supports the attainment of the SDGs is established; (2) By 2022, financing for complex priority issues piloted within a risk-mitigation framework (2 demonstration cases) and ;(3) By 2022, proposals for alternative funding sources for the SDGs are identified and approved by the Subcommittee of the SDGs. UNDP, as the lead agency, is mainly responsible for sub-outcomes 1 and 3.

Country Programme Period: 2019-2023

Project/Output ID: 00134292 SDG Fund Award
Output 00125894

Project Start Date: 10 Feb 2021
Project End Date : 31 December 2022

Project Board Meeting/LPAC Date:

2022 AWP budget: USD 324,855.53

Total resources required USD 460,000

Total allocated resources: USD 460,000

- Other:
 - Donor USD 460,000

Unfunded budget:

In-kind Contributions:

Agreed by UNDP:

Date:

EDWINE GARRIE, Deputy Resident Representative

21-Jan-2022

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PROGRAMME ALIGNMENT

A.1 2019-2023 PFSD/CPD Outcome alignment	<p>1: The most marginalized, vulnerable, and at-risk people and groups benefit from inclusive and quality services and live in a supportive environment wherein their nutrition, food security, and health are ensured/protected.</p>																		
A.2 2019-2023 CPD Output Indicator alignment <i>[Choose between 1-3 applicable indicators]</i> <i>Y1-YX placeholders should be replaced with actual project implementation period</i>	<p><i>1.2.1 Number of UNDP-assisted NGAs and LGUs implementinbg reforms and innovations for delivery and monitoring of services, public finance management, or public procurement.</i></p> <table border="1" data-bbox="568 507 2047 675"> <thead> <tr> <th colspan="2">Baseline</th> <th colspan="2">Targets / Cumulative Results</th> <th colspan="2">End of Project Target</th> </tr> <tr> <th>Year</th> <th>Quantity/ Points /Rating</th> <th>2021</th> <th>2022</th> <th>Target</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>2020</td> <td>0</td> <td>3</td> <td>6</td> <td>6¹</td> <td></td> </tr> </tbody> </table>	Baseline		Targets / Cumulative Results		End of Project Target		Year	Quantity/ Points /Rating	2021	2022	Target	Actual	2020	0	3	6	6 ¹	
Baseline		Targets / Cumulative Results		End of Project Target															
Year	Quantity/ Points /Rating	2021	2022	Target	Actual														
2020	0	3	6	6 ¹															
A.3 2022-2025 UNDP SP IRRF Output Indicator Alignment	<p><i>Indicate any other applicable SP output indicators outside the CPD. See [link] for full list of indicators.</i></p> <p>2.1.3 Number of multi-stakeholder mechanisms to strengthen public sector agility, collaboration, and the co-design, public and private financing and delivery of solutions for sustainable development at:</p> <ul style="list-style-type: none"> - Regional level: N/A - National level: 3 - Sub-national level: 2 																		
A.4 Sustainable Development Goals Target Alignment	<p><i>Indicate applicable SDG targets. See [link] for full list of targets and indicators.</i></p> <p>Goal 17: Revitalize the global partnership for sustainable development</p>																		

¹ Target NGAs and LGU comprise of NEDA, DBM, DOF, POPCOM, DOH, and Samar - LGU.

	<p>SDG 17.3 Mobilize additional financial resources for the Philippines from multiple sources</p> <p>Goal 3: Ensure healthy lives and promote well-being for all at all ages</p> <p>SDG 3.7 By 2030, ensure universal access to sexual and reproductive health-care services, including for family planning, information and education, and the integration of reproductive health into national strategies and programmes</p> <p>SDG 3.8 Achieve universal health coverage, including financial risk protection, access to quality essential health care services and access to safe, effective, quality and affordable essential medicines and vaccines for all</p> <p>SDG 3.d Strengthen the capacity of all countries, in particular developing countries, for early warning, risk reduction and management of national and global health risks.</p> <p>Goal 4: Ensure inclusive and quality education for all and promote lifelong learning</p> <p>SDG 4.5 By 2030, eliminate gender disparities in education and ensure equal access to all levels of education and vocational training for the vulnerable, including persons with disabilities, indigenous peoples and children in vulnerable situations</p> <p>Goal 5: Achieve gender equality and empower all women and girls</p> <p>SDG 5.6 Ensure universal access to sexual and reproductive health and reproductive rights as agreed in accordance with the Programme of Action of the International Conference on Population and Development and the Beijing Platform for Action and the outcome documents of their review conferences</p> <p>Goal 8: Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all</p> <p>SDG 8.6 By 2030, substantially reduce the proportion of youth not in employment, education or training</p>
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A.5 Project Document Outcome Indicators²	Joint SDG Fund Outcome 2: Additional financing leveraged to accelerate SDG achievement					
	Ratio of of financing for integrated multi-sectoral solutions leveraged in terms of scope ³					
	Baseline		Targets / Cumulative Results		End of Project Target	
	Year	Ratio	2021	2022	Target	Actual
2020	0:1	1:1 (USD 1M)	1:1 (USD 1M)	1:1 (USD 1M)		
	Ratio of of financing for integrated multi-sectoral solutions leveraged in terms of scale ⁴					
	Baseline		Targets / Cumulative Results		End of Project Target	
	Year	Ratio	2021	2022	Target	Actual
	2020	0:1	1:1 (USD 1M)	1:4 (USD 4M)	1:4 (USD 4M)	
	Joint SDG Fund Output 4: Integrated financing strategies for accelerating SDG progress implemented					
	#of integrated financing strategies that were tested (disaggregated by % successful / unsuccessful)					

² Joint Programme Document was developed and approved in 2020 hence baseline information is as of 2020

³ Additional resources mobilized for other/ additional sector /s or through new sources/means

⁴ Additional resources mobilized for the same multi-sectoral solution.

Baseline		Targets / Cumulative Results		End of Project Target	
Year	Quantity	2021	2022	Target	Actual
2020	0	0	5	5	

#of integrated financing strategies that have been implemented with partners in lead⁵

Baseline		Targets / Cumulative Results		End of Project Target	
Year	Quantity	2021	2022	Target	Actual
2020	0	0	3	3	

of functioning partnership frameworks for integrated financing strategies to accelerate progress on SDGs made operational

Baseline		Targets / Cumulative Results		End of Project Target	
Year	Quantity	2021	2022	Target	Actual
2020	0	0	3	3	

⁵ This will be disaggregated by (1) government/public partners (2) civil society partners and (3) private sector partners.

I. 2022 ANNUAL WORK PLAN*

Project Title: Reaping the Demographic Dividend and Managing the Socio-Economic Impact of COVID-19 by Applying an Integrated National Financing Framework in the Philippines

Project ID: 00134292

Output ID: 00125894

Implementing Partner: UNDP Philippines

EXPECTED OUTPUTS

Output 1. By 2022, the DBCC Subcommittee on the SDGs is functional and coordinates all INFF related efforts and will lead efforts to refine SDG plans and programs; Multi-sectoral working group to help the Subcommittee in its engagement with local and non-state actors established and functional

Project Output Indicator/s	Baseline		Annual Target (Annual)	Cumulative Target (from Start Year)	End-of-Project Target
	2020			Start year: 2021	End year: 2022
1.1. Extent to which the DBCC Subcommittee on the SDGs is functional to apply INFF building blocks ⁶	2020	Level 1	Level 3	Level 3	Level 3
1.2 No. of budget tracking report published	2020	0	1	1	1

**Subject to updating based on the ongoing revising of the JP Project Document*

⁶ Measured by assigning levels: Level 1: Subcommittee is organized and calls for its First Meeting; Level 3: Subcommittee is operational, meets regularly and is able to meet at least 50% of its objectives

PLANNED ACTIVITIES (for Output No.1)						PLANNED BUDGET (for Output No.1)					
Activity/Sub-Activity Description ⁷	Activity Target ⁸	TIMEFRAME				RESPONSIBLE PARTY ⁹	IA CODE	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4				Code	Description	US\$
Technical Assistance and Capacity Development of the SDG Sub Committee Secretariat (Executive and Legislative)	Drafting of Chapter for JP INFF					UNDP	1981	MPTF	71300	Local Consultants	20,000
	Advocacy materials developed and disseminated								74200	Comms/ Branding/ Learning Materials Support	8,000
National Training / Workshop and "Deep Dive" into the 2030 Agenda for Sustainable Development and INFF	Provide capacity development activities					UNDP	1981	MPTF	71300	Local Consultants	12,000
	Advocacy materials developed and disseminated								74200	Comms/ Branding/ Learning Materials Support	6,000
Budget Tagging	Support in the development of IT Solution					UNDP,	1981	MPTF	71300	Local Consultants	4,000
	High level events/Workshop								75700	Events/ workshop	5,000
OUTPUT 1 Sub TOTAL										55,000	
GMS at 7%										3,850	
OUTPUT 1 TOTAL										58,850	

⁷ For UPL/LPL rates, please refer to the latest UPL/LPL rate issuance and ensure that the support service is specified in the LOA with UNDP (reviewed annually).

⁸ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

⁹ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

EXPECTED OUTPUTS**Output 2. INFF applied for complex priority issues (DD and AP) within a risk-mitigation framework for COVID-19**

Project Output Indicator/s	Baseline		Annual Target (Annual)	Cumulative Target (from Start Year)	End-of-Project Target
	2020	2021		Start year: 2022	End year: 2022
2.1. Number of investment case on DD, informed by studies on COVID-19 conducted, reflected in the next Philippine Development Plan	2020	0	One (1)	1	1

PLANNED ACTIVITIES (for Output No.1)							PLANNED BUDGET (for Output No.1)				
Activity/Sub-Activity Description	Activity Target	TIMEFRAME				RESPONSIBLE PARTY	IA CODE	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4				Code	Description	US\$ (1=XX)
2.1 Support to NEDA's SDG - COVID-19 Study	Consultants and researchers for Covid 19 Study					UNDP	1981	MPTF	72100	Local Consultant (Firm)	20,000
OUTPUT 2 Sub TOTAL										20,000	
GMS at 7%										1,400	
OUTPUT 1 TOTAL										21,400	

EXPECTED OUTPUTS**Output 3. By 2022, proposals for alternative funding sources for the SDGs are identified and approved by the Subcommittee of the SDGs**

Project Output Indicator/s	Baseline ¹⁰		Annual Target (Annual)	Cumulative Target (from Start Year)	End-of-Project Target
				Start year: 2021	End year: 2022
3.1 Extent to which the National Development Finance Assessment is updated	2020	0 ¹¹	2	0	2
3.2 Number of national strategies for mobilizing public and private resources developed	2020	0	1	1	1

¹⁰ Joint Programme Document was developed and approved in 2020 hence baseline information is of 2020.

¹¹Rated from a scale of 0 – 2. Scaling of baseline is defined as follows: 0 - not updated; 1 - updating process commenced; 2 - updated and accepted.

PLANNED ACTIVITIES (for Output No.3)							PLANNED BUDGET (for Output No.3)				
Activity/Sub-Activity Description ¹²	Activity Target ¹³	TIMEFRAME				RESPONSIBLE PARTY ¹⁴	IA CODE	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4				Code	Description	US\$
Development of Country diagnostics on SDG Financing	Country diagnostics on SDG financing updated					UNDP	1981	MPTF	71300	Local Consultants	41,600
Pilot Testing of Innovative Financing: Testing of the financing strategies arising from the result of the diagnostic study	Online and face to face co-design workshop					UNDP	1981	MPTF	75700	High Level Events/ Workshop	5,000
	Support to SDG Financing (KIIs, Documentation)								74200	Branding/ Comms Support	4,000
									71300	Local Consultants	9,600
New financing instruments are co-designed	Strategy development					UNDP	1981	MPTF	71300	Local Consultants	15,000
OUTPUT 3 Sub TOTAL											75,200
Joint Programme Coordination and Management	General and other operating expenses					UNDP	1981	MPTF	73100	Rental and Maintenance (Premises)	29,232
	Joint Programme Coordinator (SB 5, Step 3)					UNDP	1981	MPTF	71400	Service Contracts	36,000
	Joint Programme Associate (SB 3, Step 3)					UNDP	1981	MPTF	71400	Service Contracts	14,160

¹² For UPL/LPL rates, please refer to the latest UPL/LPL rate issuance and ensure that the support service is specified in the LOA with UNDP (reviewed annually).

¹³ Specify units, e.g., number of trainings, number of participants, number of representations, etc.

¹⁴ Indicate who will deliver the activity, e.g., UNDP, IP, or Responsible Parties (indicate name of RP)

PLANNED ACTIVITIES (for Output No.3)							PLANNED BUDGET (for Output No.3)				
Activity/Sub-Activity Description ¹²	Activity Target ¹³	TIMEFRAME				RESPONSIBLE PARTY ¹⁴	IA CODE	Funding Source/Donor	Budget		Amount
		Q1	Q2	Q3	Q4				Code	Description	US\$
	UNDP Joint Programme Officer (SB 4, Step 3)					UNDP	1981	MPTF	71400	Service Contracts	19,200
	UNDP Joint Programme Communications Officer (SB 4, Step 3)					UNDP	1981	MPTF	71400	Service Contracts	19,200
	DPC -Staff					UNDP	1981	MPTF	64397	DPC -Staff	8,611
	DPC -GOE					UNDP	1981	MPTF	74596	DPC – GOE	2,000
	Monitoring and Evaluation					UNDP	1981	MPTF	71300	Local Consultant	25,000
Joint Programme Coordination and Management Total											153,403
GMS at 7 %											10,738.21
TOTAL (Output 3 + Joint Programme Coordination and Management)											244,605.21

Prior Year Commitments¹⁰:

Activity/ Sub-Activity Description	Year of Commitment	Responsible Party	IA CODE	Funding Source/ Donor	Budget		GMS	Amount
					Code	Description		USD
Budget Tagging Process Mapping	2021	UNDP	001981	30000	71300	Individual Consultant	1,820	26,000
Budget Tagging Systems Development	2021	UNDP	001981	30000	71300	Individual Consultant	3,360	48,000

JP Collaterals	2021	UNDP	001981	30000	72300	Materials and Goods	91	1,300
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II. MANAGEMENT ARRANGEMENTS

Joint Programme Steering Committee (JPSC)

A Joint Programme Steering Committee composed of government and UN agencies will be set up. It will have, as co-chairpersons, the Secretary of Socio-Economic Planning, National Economic and Development Authority (or designate) and the UN Resident Coordinator.

Its key government membership shall include the Department of Budget and Management, Department of Finance, Department of Health, Department of Social Welfare and Development, Department of Education, the Council for the Welfare of Children and from the UN will be comprised of UNDP, UNFPA and UNICEF.

The said Committee will have the following responsibilities and functions:

1. Provide a strategic overview of the joint workplan and budgets, ensuring evolving programming priorities and funding gaps are addressed to attain desired outcomes;
2. Review and adopt the terms of reference and rules of procedures and/or modify them as necessary;
3. Facilitate synergy and complementation with similar programmes and projects by other government and development partners; and
4. Champion the Joint Programme and facilitate outreach to a broad constituency of stakeholders.

The JPSC will be aligned with existing, broader national coordination mechanisms in order to avoid duplicating these existing mechanisms and to keep transaction costs to a minimum, while ensuring national leadership and ownership. The SC will meet regularly (proposed semi-annual) or as the need arises.

In recognition of the organization of the SDGs Sub-Committee under the Development Budget Coordination Committee, further discussions on how to streamline membership will be explored further.

Technical Working Groups

The TWGs will be co-chaired by a relevant Government agency and the respective lead PUNO/UN agency counterpart for the Sub-Outcome. The TWG will build on existing coordination structures so as not to burden government's coordinating mechanisms for SDGs and COVID-19 recovery.

The TWG will lead and oversee over-all joint programme implementation and monitoring and shall be responsible for the following functions: i) Provides technical guidance and inputs to the planning, implementation, monitoring, reporting and evaluation in line with the directions set by the Joint Programme Steering Committee and consistent with Joint SDG Fund Secretariat requirements; ii) Analyzes programme bottlenecks, tracks progress, identifies actions to be taken, and recommends strategies and innovative approaches to joint programming and in addressing risks and operational issues; iii) Coordinates the

implementation processes and activities per Output and across Outputs to ensure results are achieved and coordinated; and iv) Develops joint work plans to ensure coherent approaches in policy design and programming for results as well as regular monitoring, reporting and evaluation.

Membership will include government mid-level management and representatives from CSOs, the private sector and target groups who will contribute to the operational and technical aspects of implementation. At its inception meeting, it will determine a core group and may invite others as resource persons or observers. The TWG will have the ability to expand and contract depending on the agenda.

Implementation

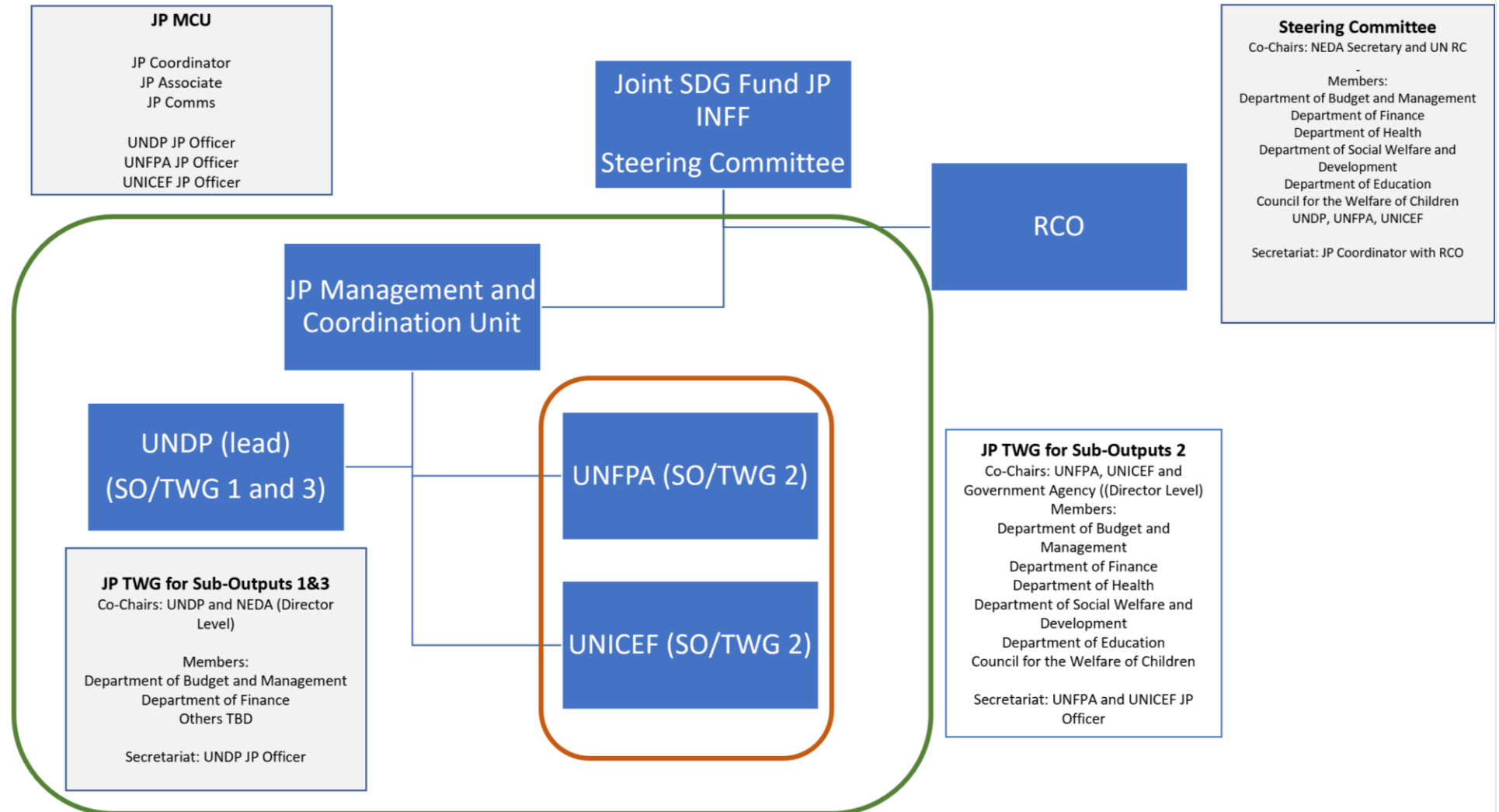
A JP Coordination and Management Unit headed by a Joint Programme Coordinator and reporting directly to the Convening/Lead UN agency, will be established to carry out and monitor programme activities of the joint programme. Secretariat support to the TWG will be determined by the co-chairpersons during its inception meeting.

Bi-monthly meetings or as the need arises will be convened by the TWG co-chairpersons. Regular joint TWG meetings will be convened (timing to be agreed) to ensure synergies/complementation of activities and to ensure crosscutting agenda and issues are deliberated on. A joint TWG progress report, to include recommendations and issues for decision-making, shall be prepared by the JP Coordination and Management Unit and submitted to the Joint Programme Steering Committee on a quarterly basis or as the need arises.

The Joint Programme Coordinator and Management Unit will be composed of:

- Joint Programme Coordinator
- Joint Programme Technical Officer
- Joint Programme Communications Officer
- Joint Programme Associate
- UNDP Programme Officer
- UNFPA Programme Officer
- UNICEF Programme Officer

Joint Programme Governance and Implementation Structure



III. MONITORING AND EVALUATION PLAN

Monitoring Plan

Expected Results	Indicators	Data Collection Methods	Time or Schedule and Frequency	Means of Verification: Data Source and Type	Responsibilities	Resources	Risks and assumptions
1. By 2022, a functional Integrated National Finance Framework that supports the attainment of the SDGs is established	1.1. Extent to which the DBCC Subcommittee on the SDGs is functional to apply INFF building blocks Baseline: 0 (2020) Target: Level 1	Monitoring reports from JPMCU Interviews with government agencies	Quarterly	Report from the Subcommittee Secretariat on the major accomplishments of the Subcommittee Primary data collection (observation)	JP Coordinator (Coordination of Data Collection; Analysis of Data) UNDP JP Officer (Data Collection) Programme Analyst (Analysis of Data)	JP Coordination and Management Unit Staff	DBCC Subcommittee on SDGs prioritizes strengthening of Philippines' INFF
	1.2 Extent to which NEDA planning (PIPOL) and DBM budgeting (OSBP) systems are integrate Baseline: Not integrated (2020)	Monitoring reports from JPMCU Interviews with government agencies	Quarterly	Report from the Subcommittee Secretariat on the major accomplishments of the Subcommittee Primary data collection (observation)	JP Coordinator (Coordination of Data Collection; Analysis of Data) UNDP JP Officer (Data Collection) Programme Analyst	JP Coordination and Management Unit Staff Technical Consultants	NEDA and DBM willingly accepts technical support on the integration of the NEDA's Public Investment Program system (PIPOL) and DBM's online budget

	Target: NEDA and DBM systems integrated				(Analysis of Data)		submission system (OSBP)
	1.3 No. of budget tracking report published Baseline: 0 (2020) Target: 1	Monitoring reports from JPMCU Interviews with government agencies	Quarterly	Budget Tracking Report Primary data collection (observation)	JP Coordinator (Coordination of Data Collection; Analysis of Data) UNDP JP Officer (Data Collection) Programme Analyst (Analysis of Data)	JP Coordination and Management Unit Staff Technical Consultants	Financial Needs Assessment is completed and Policy and Institutional Review Expenditure Review is initiated
2. By 2022, proposals for alternative funding sources for the SDGs are identified and approved by the Subcommittee of the SDGs	2.1 Extent to which the National Development Finance Assessment is updated Baseline: 0 (2020) Target: National Development Finance Assessment is updated	Monitoring reports from JPMCU Interviews with government agencies	Quarterly	National Development Finance Assessment Primary data collection (observation)	JP Coordinator (Coordination of Data Collection; Analysis of Data) UNDP JP Officer (Data Collection) Programme Analyst (Analysis of Data)	JP Coordination and Management Unit Staff	Technical Consultant is onboard and is able to work effectively with NEDA National government agencies and private sector are willing to be

							involved n the assessment.
	2.2 Number of national strategies for mobilizing public and private resources developed Baseline: 0 (2020) Target: 1	Monitoring reports from JPMCU Interviews with government agencies Interviews with Private sector	Quarterly	Strategy document on mobilizing public and private resources for SDGs Primary data collection (observation)	JP Coordinator (Coordination of Data Collection; Analysis of Data) UNDP JP Officer (Data Collection) Programme Analyst (Analysis of Data)	JP Coordination and Management Unit Staff	Development Finance Assessment on the Philippines will be updated which will be the basis for developing the strategy document on mobilizing public and private resources for SDGs aligned with PDP and We Recover as One.

Monitoring & Evaluation Budget

Total Budget on Monitoring in Reporting Year <i>Guidance: Costs associated with UNDP/project staff, consultants, project partners, supporting national statistical systems in designing project</i>	USD 7,092.54 ¹⁵	Total budget on Decentralized Evaluations in Reporting Year (Mid Term / Final) <i>Guidance: Costs associated in designing, implementing and</i>	25,000
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¹⁵ 10 % of Joint Programme Management and Coordination Unit associated costs

<i>specific data collection methodologies (qualitative and quantitative), monitoring methods including stakeholder surveys and other qualitative methods, collection of data, analysis and dissemination of the findings to inform a project, either with project partners or to fulfill specific UNDP/project requirements (preferably the former).</i>		<i>disseminating evaluations for specific projects</i>	
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IV. ANNUAL PROCUREMENT PLAN

Request ID	Project Name	Title of Procurement Action	Procurement Type	Document Provided	Status	Contract Value (in USD)	Target Date
	Philippines INFF: Financing the Demographic Dividend and Managing COVID-19 Impact	Contractual Service for SDG Financing (DFA)	Individual Contract			41,600	31-Jan-2022
-	Philippines INFF: Financing the Demographic Dividend and Managing COVID-19 Impact	Contractual Service for Chief Technical Advisor	Individual Contract			35,000	1-Mar-22
-	Philippines INFF: Financing the Demographic Dividend and Managing COVID-19 Impact	Contractual Services for SDG Financing Assessment	Individual Contract			9,600	15-Apr-22
	Philippines INFF: Financing the Demographic Dividend and Managing COVID-19 Impact	Capacity Development Activities	Individual Contract			12,000	15-Feb-22
-	Philippines INFF: Financing the Demographic Dividend and Managing COVID-19 Impact	Contractual Services for Covid – 19 Study	Services (Firm)			20,000	15-Mar-22

-	Philippines INFF: Financing the Demographic Dividend and Managing COVID- 19 Impact	Communications Support to the Joint Programme	Individual Contract			14,000	30-Mar-22
	Philippines INFF: Financing the Demographic Dividend and Managing COVID- 19 Impact	Development of IT Solutions for BT SysDev	Direct Contracting			4,000	15-May-22
	Philippines INFF: Financing the Demographic Dividend and Managing COVID- 19 Impact	Monitoring and Evaluation	Individual Contract			25,000	30-Aug-22
				Total Planned Budget		161,200	

V. Risk Log (UPLOAD IN ATLAS: GRANTS > PROJECT MANAGEMENT > APPROVED PROJECTS > RISKS)

No.	Cause	Event	Impact	SES Risk?	Date Identified	Type	Impact Probability	Countermeasures/ Management Response	Owner	Last Update	Status
1	Global Pandemic and Recession.	Government attention will be focused on COVID-19 and its social and economic impact.	This will be high priority for at least the rest of 2021 or longer if infections are not controlled by the first semester.	Yes / No	March 2020	Environmental -Pandemic	Probability: 5 Impact: 5	The Joint Programme has been redesigned to support the Government's Response and Recovery Plan for COVID-19. The workplan will focus on the financing strategy for the Response and Recovery Plan.	UNDP, UNFPA, UNICEF	November 2021	Ongoing/No change
2	Mandanas Ruling	Decrease of national government budget in 2022.	As local governments will receive a higher share of revenues, the budget of some national agencies may be reduced.		March 2020	Political - Governance	Probability: 5 Impact: 5	Work with DILG in developing co-financing schemes between national and local governments for the attainment of some SDG goals. Incentives (financial and non-financial) must be provided to LGUs for them to actively engage.	UNDP, UNFPA, UNICEF	November 2021	Ongoing/No change
3	Partner's lack of capacity to execute planned interventions	Poor execution of SDG interventions funded through the INFF.	This will reduce the effectiveness in attaining SDG targets.		March 2020	Political - Government Commitment	Probability: 3 Impact: 5	UN has existing mechanisms for coordination and cooperation between agencies such as SEPF; Joint work planning to help operationalize SEPF will enable the JP to leverage off on UN resources	UNDP, UNFPA, UNICEF	November 2021	Ongoing/No change
4	Election period on May 2022	Elections will be conducted around the second year	Major decisions will slow down during this		March 2020	Political - Change in Government	Probability: 5 Impact: 4	Work with career officials who can champion the program within government and help	UNDP, UNFPA,	November 2021	Ongoing/No change

		of program implementation (2022)	period and the newly elected administration will have new priorities.				manage the transition to a new administration.	UNICEF		
5	Slow approval process related to government partners	Slow administrative processes related to government's official endorsement of the Joint Programme. The proposal was developed in consultation with NEDA and DBM which is crucial in ensuring linkage of plans and budgets.	This may hamper the launch and roll out of some key activities which can affect the timeline by 1 to 3 months		March 2020	Regulatory – New unexpected regulations, policies	Probability: 5 Impact: 5 JP Steering Committee and TWGs will be involved in the development of detailed plans. The JP will work with national government agencies gain in allocating additional budget for these plans to ensure buy-in and ownership as well as highlight advantage of taking part in this joint programme. JP will identify <i>champions</i> from the government to support and advocate for INFF on DD and COVID-19	UNDP, UNFPA, UNICEF	November 2021	Ongoing/No change
6	Global Pandemic and Recession	Limited capacity of government for fund management and implementation due to heightened focus on	This may result to delay in implementation which will affect the achievement of the set outputs and		March 2020	Political - Governance	Probability: 3 Impact: 4 UNDP and other PUNOs will implement the JP under National Implementation Modality with full Country Office Support. Regular dialogue with partner government agencies and other partners shall be held to review of financial monitoring reports	UNDP, UNFPA, UNICEF	November 2021	Ongoing/No change

		COVID-19 response and recovery.	outcomes of the project.					and financial statements, among other reports.			
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Reference: Types of Risks¹⁶

Environmental	Financial	Organizational	Political	Operational	Regulatory	Strategic	Other
Natural Disasters: storms, flooding, earthquakes	EXTERNAL economic factors: interest rates, exchange rate fluctuation, inflation	Institutional Arrangements	Corruption	Complex Design (size: larger/multi-country project; technical complexity; innovativeness, multiple funding sources)	New unexpected regulations, policies	Partnerships failing to deliver	Other risks that do not fit in any of the other categories
Pollution incidents	INTERNAL:	Institutional/ Execution Capacity	Government Commitment	Project Management	Critical policies or legislation fails to pass or progress in the legislative process	Strategic Vision, Planning and Communication	Might refer to socioeconomic factors such as: population pressures; encroachment – illegal invasions; poaching/illegal hunting or fishing
Social and Cultural	Co-financing difficulties	Implementation arrangements	Political Will	Human Error/Incompetence		Leadership and Management	

¹⁶ UNDP Programme and Operations Policies and Procedures (POPP)

Security/Safety	Use of financing mechanisms	Country Office Capacity (specific elements limiting CO capacity)	Political Instability	Infrastructure Failure		Programme Alignment	
Economic	Funding (Financial Resources)	Governance	Change in Government	Safety being compromised		Competition	
	Reserve Adequacy	Culture, Code of Conduct and Ethics	Armed Conflict and Instability	Poor monitoring and evaluation		Stakeholder Relations	
	Currency	Accountability and Compensation	Adverse Public opinion/medi a intervention	Delivery		Reputation	
	Receivables	Succession Planning and Talent Management		Programme Management		UN Coordination	
	Accounting/Financial Reporting	Human resources Processes and Procedures		Process Efficiency		UN Reform	
	Budget Allocation and Management			Internal Controls			
	Cash Management/Reconciliation			Internal and External Fraud			
	Pricing/Cost Recovery			Compliance and Legal			
				Procurement			
				Technology			

				Physical Assets			
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VI. ANNEXES

Annex 1: Gender Marker Matrix

Indicator		Score	Findings and Explanation	Evidence or Means of Verification
<i>Nº</i>	<i>Formulation</i>			
1.1	Context analysis integrate gender analysis	1	Data and documents reviewed provides some level of gender disaggregated data, and gender analysis is reflected mainly on the issue of teenage pregnancy but nothing on the SDG financing framework, probably because there is limitation to available information linked to that.	<ul style="list-style-type: none"> • PSA and NDHS 2017 Survey • Initial INFF • Philippine Statistics Authority's Civil Registry and Vital Statistics data
1.2	Gender Equality mainstreamed in proposed outputs	2	Intent to conduct gender assessment across all SDG financing, strategies and tagging system to ensure that gender is integrated and if not incorporated afterwards.	<ul style="list-style-type: none"> • Gender assessment report and recommendations • Inclusive and participatory workshops and consultations
1.3	Programme output indicators measure changes on gender equality	2	Outputs outlined attempts to incorporate gender indicators across all SDG targets in the proposal	<ul style="list-style-type: none"> • Gender assessment report and recommendations • Inclusive and Integrated National Financing Framework for the Attainment of the SDGs • Capacity development of key government agencies including gender focal persons
2.1	PUNO collaborate and engage with Government on gender equality and the empowerment of women	2	All participating PUNO have been working with key government agencies mindful that they also tackle gender concerns in their programming work.	<ul style="list-style-type: none"> • Programmes and initiatives of all PUNOs
2.2	PUNO collaborate and engages with women's/gender equality CSOs	2	All PUNOs have good working relationships with select CSOs and women's groups that aligns to their work and programmes too.	<ul style="list-style-type: none"> • Programmes and initiatives of all PUNOs
3.1	Program proposes a gender-responsive budget	3	The programme strongly proposes to incorporate gender indicators in budget tagging and development financing framework development.	<ul style="list-style-type: none"> • Gender assessment report and recommendations • Inclusive and Integrated National Financing Framework for the Attainment of the SDGs

				<ul style="list-style-type: none"> Capacity development of key government agencies including gender focal persons
Total scoring		12		

Annex 2: Communication Plan

Context

The National Government's Ambisyon 2040 which presents the long-term aspirations of the country and the Philippine Development Plan 2017-2022 both contain goals and targets that are consistent with various SDG targets. However, the link of these national plans with the budgeting process still requires further strengthening as these plans and the SDGs are not mandatory considerations in the formulation of the annual national budgets. There is no special mention of the SDGs in the most recent guidelines issued on the formulation of the annual budget.

To address this, the proposed Joint Programme will provide the mechanisms for developing and enhancing the planning, budgeting, monitoring and evaluation systems and processes within a coherent financing framework that better supports the achievement of the SDGs.

This proposed Joint Program seeks to strengthen the link between the national plan and the national budget, particularly for SDG-related programs, through the pilot inclusion of 2 cross-sectoral SDG programs in the Program Converge Budgeting process of the Department of Budget and Management in the formulation of the national budget wherein related programs in different agencies are reviewed together. These programs are on reaping demographic dividend by addressing teenage pregnancy and responding to national health emergencies, particularly to the COVID-19 crisis.

This is more advantageous compared to component interventions being reviewed as part of an agency budget, since cross-dependencies and complementary interventions are more difficult to consider in individual agency budget reviews.

Furthermore, this Joint Program will help establish a delivery unit within the Department of Budget and Management or Office of the Cabinet Secretary. The unit will ensure that clear targets and milestones are set by all agencies involved, enabling close monitoring and timely interventions in program execution.

All of these will be guided by the recently established Development Budget Coordination Committee (DBCC) Subcommittee on the SDGs. The DBCC is the body that sets the parameters in the formulation of the national budget. The Subcommittee shall also engage with local governments, development partners, civil society and the private sector in the development and execution of these programs, as well as development of other sources of financing

Communication goals

The JP aims to:

- raise programme awareness or awareness of integrated financing policies
- influence policy and decision makers
- encourage collaboration with government to participate in the programme implementation
- make programme information easily accessible and understandable to stakeholders

Audience

- Close engagement - Stakeholders with high power and high interest in the success of the project are potential champions: National Economic and Development Authority, Department of Budget and Management, Department of Finance, Bangko Sentral ng Pilipinas, Bureau of Treasury, Department of Health, Department of Education and Department of Social Welfare and Development.
- Empowerment- Stakeholders who have low capacity but high interest in the project: Local government units, and civil society organizations
- Advocacy- Stakeholders with a low degree of interest but with high power: Private sector
- Awareness raising - Stakeholders with low power and low interest: Media and the general public

Messages

- While many of the elements of an INFF are already present in the Philippines, there remains major gaps in ensuring the operationalization of an INFF.
- Government must improve its overall framework for the planning, financing and execution of programs that support the attainment of SDG targets.

- The Joint Programme aims to contribute to the attainment of the financing-related SDG target 17.3 by strengthening the Philippines' existing integrated national financing framework
- There is a need to expand financing sources for the SDGs
- Investing in reducing teen pregnancy will help contribute to attaining health-related SDG targets on maternal mortality and infant health, as well as youth employment.
- Risk management and a rapid response from government is required to ensure that the negative impacts of COVID-19 pandemic are mitigated
- The Joint Programme will take a multi-sectoral approach and stakeholders will be involved in the design and implementation of this Joint Programme.

Implementation

Communication Goal	Target Audience	Key messages	Communication channels	Frequency
Raise programme awareness or awareness of integrated financing policies	National Economic and Development Authority, Department of Budget and Management, Department of Finance, Bangko Sentral ng Pilipinas, Bureau of Treasury, Department of Health, Department of Education and Department of Social Welfare and Development, Local government units, and civil society organizations,	<p>While many of the elements of an INFF are already present in the Philippines, there remains major gaps in ensuring the operationalization of an INFF.</p> <p>Government must improve its overall framework for the planning, financing and execution of programs that support the attainment of SDG targets.</p>	Social Media, Newsletters, News stories, Infographic	Monthly

	private sector, media, general public			
Influence policy and decision makers	Congress, Senate, Office of the President	Government must improve its overall framework for the planning, financing and execution of programs that support the attainment of SDG targets.	Social Media, Newsletters, News stories, Infographic	Monthly
Encourage collaboration with government to participate in the programme implementation	Private sector, civil society	The Joint Programme will take a multi-sectoral approach and stakeholders will be involved in the design and implementation of this Joint Programme.	Social Media, Newsletters, News stories, Infographic	Monthly
Make programme information easily accessible and understandable to stakeholders	National Economic and Development Authority, Department of Budget and Management, Department of Finance, Bangko Sentral ng Pilipinas, Bureau of Treasury, Department of Health, Department of Education and Department of Social Welfare and Development, Local government units, and civil society organizations, private sector, media, general public	<p>There is a need to expand financing sources for the SDGs</p> <p>Investing in reducing teen pregnancy will help contribute to attaining health-related SDG targets on maternal mortality and infant health, as well as youth employment.</p> <p>Risk management and a rapid response from government is required to ensure that the negative impacts of COVID-19 pandemic are mitigated</p>	Social Media, Newsletters, News stories, Infographic	Monthly

Annex 3. Learning and Sharing Plan

The learning and sharing plan will be integrated into the workplan and use a multi-stakeholder participatory approach. There are different types of knowledge that the joint programme would like to capture. First, is related to evidence generation. This will include Country diagnostics on SDG Financing: mapping and review of financing strategies (including private sector financing); updating of the INFF study; and review of existing systems for tagging and monitoring. Second is framework development. This would cover the writing of the National Financing Framework for SDG Monograph. Third is Evaluation, specifically, Operational Research on the effectiveness/efficiency of the JP on SDG Financing for Young People.

These are proposed and may change after a more thorough process of consultation on the learning and sharing agenda.

In order to document these areas of knowledge, there are four steps proposed: assess, explore, define, and develop.

a) Assess stakeholders' capacity (expertise and information systems) for capturing knowledge. This will require a workshop to introduce the overarching learning and sharing plan envisioned under the joint programme. Key questions which need to be answered are:

- What are the existing learning and sharing opportunities across agencies and stakeholders?
- What are the opportunities and challenges for learning and sharing under the context of the joint programme?

b) Explore the added value for the joint programme's process documentation and prioritize the lessons that want to be highlighted

c) Define value proposition for enhancing the flow of knowledge across the organizations i.e. the UN, duty bearers and target groups. Set shared objectives and goals across joint programme stakeholders. Identify the initial governance for learning and sharing efforts to make sure that the knowledge is not lost and but is transferred.

- Who are the owners of the learning and sharing plan under the joint programme? Who will be the users?
- Who will take the lead? What are the stakeholders' roles?
- How can the knowledge be transferred?

d) Agree on a strategic plan and implementation roadmap for learning and sharing. The Joint programme, in consultation with stakeholders, will identify strategic priorities and critical knowledge domains. This will be the basis for an implementation plan to guide the development of capabilities in support of knowledge flow and collaboration that will be undertaken.

